

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
COMPLETION OF FILING NATIONAL PHASE OF PCT APPLICATION
UNDER RULE 35 USC 371 AND 37 CFR 1.494(C) OR 1.495(C)

BOX PCT

COMPLETION
For PCT Cases Only

In re PATENT APPLICATION of

Inventor(s): CHOO

Appln. No.: 09	646,353
Series Code	Serial No.

Atty. Dkt. PM	273884	P004287US
M#		Client Ref

National Phase Field

Based on PCT GB99 00816

Country Code & Year

NUCLEIC ACID BINDING PROTEINS

Date: November 27, 2000

FILING OF ITEM(S) LATE IN PCT/USA NATIONAL CASE

Asst. Commissioner of Patents

Washington, DC 20231

Sir:

The following completes the filing of the subject application under Rule 494(c)/495(c). Please accept the following attached items:

- Missing Requirements Notice (PCT/DO/EO/905) ☒ copy attached ☐ not yet received
- Signed Declaration** ☒ Original ☐ Facsimile/Copy ☐ with spec/claims attached
- ☐ Translation of the International Application into English including:
 - ☐ Request;
 - ☐ Abstract
 - ___ pgs. Spec. and Claims;
 - ☐ Translation verification
 - ___ sheets Drawing which are: ☐ informal ☐ formal of size ☐ A4 ☐ 11"
- ☐ Copy of **International Search Report (ISR)** attached (___ page(s))
 - ☐ plus Annex of family members (___ page(s))
- Information Disclosure Statement** including
 - ☐ From PTO-1449 listing documents
 - ☐ Copies of document(s) listed on Form PTO-1449
 - ☐ A concise explanation of ISR references is given in the ISR
- ☒ **Assignment** and cover sheet. Please return the recorded assignment to the undersigned.
- ☐ Copy of Power to international application agent
- 2 (No.) Small Entity Statement(s) establishing "small entity" status under Rules 9 & 27.
- ☐ Formal Drawings: ___ sheet(s) ☐ informal; ☐ formal of size: ☐ A4 ☐ 11"
- ☒ Please immediately start national examination procedures (35 USC 371(f))

Adjustment date: 05/30/2001 LLANDGRA
 11/29/2000 ERIMANDO 00000037 09646353
 01 FC:967 -81.00 OP
 02 FC:964 -80.00 OP
 03 FC:969 -135.00 OP

11/29/2000 ERIMANDO 00000037 09646353
 01 FC:967
 02 FC:964 81.00 OP
 03 FC:969 80.00 OP
 04 FC:254 135.00 OP
 65.00 OP

05/30/2001 LLANDGRA 00000045 09646353
 01 FC:965 18.00 OP

Regin. Ref: 05/30/2001 LLANDGRA 001184000
 Date: 01/23/73 Name/Number: 09646353
 File No. 07/23/00

11. ☐ Attached:

12. ☐ Preliminary Amendment:

13. ☒ Basic U.S. National fee per Rule 492(a)(1)-(4) was previously timely filed.:

14. **Calculation of remaining fees due (if any):** based on amended claim(s) per above item

☐ 12 (above) or item(s) in PAT-112 (filed previously) ☐ 12 ☐ 14 ☐ 17 ☐ 25

15. **CLAIMS FEES** ☐ previously paid ☒ paid herewith as follows:

15A. Small Entity Statement ☒ Herewith ☐ Previously Filed

				Large/Small Entity		Fee Cod	
16. Total Effective Claims	29	minus 20 =	9	x \$18/\$9	+81	966/967	
17. Independent Claims	4	minus 3 =	1	x \$80/\$40	+40	964/965	
18. If <u>any proper</u> multiple dependent claim (ignore improper) is present,				\$270/\$135	+135	968/969	
19. Filing Declaration late, fee paid <input type="checkbox"/> previously <input checked="" type="checkbox"/> now				\$130/\$65	+65	154/254	
20. SUBTOTAL					\$321		
21. Original due date: December 14, 2000							
22. P etition is hereby made to extend the original due date to cover the date this response is filed for which the requisite fee is attached				(1 mo)	\$110/\$55 =	+0	115/215
				(2mos)	\$390/\$195 =		116/216
				(3mos)	\$890/\$445 =		117/217
				(4mos)	\$1390/\$695 =		118/218
23. If "non-English" box 3 is X'd, add Rule 17(k) processing fee				\$130	+0	156	
24. If "assignment" box 6 is X'd, add recording fee.				\$40	+40	581	
25. TOTAL FEE ENCLOSED =					\$361		

(Our Deposit Account No. 03-3975)

(Our Order No. 71278	273884
C#	

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (~~missing or insufficient fee only~~) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.

This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

Pillsbury Madison & Sutro LLP
Intellectual Property Group

1100 New York Avenue, NW
Ninth Floor
Washington, DC 20005-3918
Tel: (202) 861-3000
PNK/MAH

By Atty: ~~Paul~~ N. Kokulis

Reg. No. 16773

Sig:

Fax:

(202) 822-0944

Tel:

(202) 861-3503

NOTE: File in duplicate with PTO receipt (PAT-103A) and attachments

PTO/PCT Rec'd 27 NOV 2000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT
APPLICATION

Inventor(s): CHOO

Appln. No.: 09

Series Code ↑

646,353

Serial No. ↑

Filed: September 18, 2000

Hon. Commissioner of Patents
Washington, D.C. 20231

Group Art Unit

Unknown

Examiner:

Unknown

Atty. Dkt. PM

273884

M#

P004287US

Client Ref

Appln. Title: NUCLEIC ACID BINDING
PROTEINS

Sir:

Date: November 27, 2000

Please note the following change to/from Small Entity Status:



CLAIMED NOW: Applicant hereby claims Small Entity Status



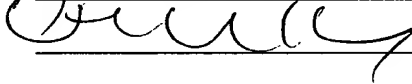
WITHDRAWN NOW: Applicant hereby withdraws Small Entity Status

1100 New York Avenue, NW
Ninth Floor
Washington, DC 20005-3918
Tel: (202) 861-3000
Atty/Sec: PNK/MAH

Respectfully Submitted
Pillsbury Madison & Sutro LLP
Intellectual Property Group

By Atty: Raul N. Kokulis

Sig:



Reg. No. 16773

Fax: (202) 822-0944

Tel: (202) 861-3503

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PTO/PCT Rec'd 27 NOV 2000

In re PATENT (application) of
Inventor(s): CHOO

Appln. No.: 09 | 646,353
Series Code ↑ Serial No.

Atty. Dkt PM 273884 | P004287US
M# Client R f

Filed: September 18, 2000

Group Art Unit : Unknown

Patent No.
Issued:

Examiner: Unknown

Allowed (date):

Title: NUCLEIC ACID BINDING PROTEINS

Issue Batch No.:

Date: November 27, 2000

Hon. Commissioner of Patents
Washington, D.C. 20231

Attention: Refund Section,
Accounting Division,
Office of Finance

SMALL ENTITY CLAIM AND REFUND REQUEST

Sir:

Since the ☒ filing fee ☐ issue fee ☐ maintenance fee ☐ other fee: _____
was paid within the last three months*, i.e., on September 18, 2000 please refund one-half of that fee by crediting
\$420.00 to our Account/Order Nos. shown below on the basis that this case is entitled to small entity status.

(Our Deposit Account No. 03-3975)

(Our Order No. 71278 | 273884
C# M#

Respectfully submitted,

Pillsbury Madison & Sutro LLP
Intellectual Property Group

1100 New York Avenue, NW
Ninth Floor
Washington, DC 20005-3918
Tel: (202) 861-3000

By: Atty: Paul N. Kokulis

Reg. No. 16773

Sig: 

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Enclosures
Atty/Sec: PNK/MAH

*Three months is not extendible!
(PTO Rule 28(a))

Note: File this sheet in
duplicate with PTO
receipt (PAT-103A)



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: ASSISTANT COMMISSIONER FOR PATENTS
Box PCT
Washington, D.C. 20231

U.S. APPLICATION NO. 09/646353	FIRST NAMED APPLICANT CHOO	ATTY. DOCKET NO. PM273884
PILLSBURY MADISON & SUTRO NINTH FLOOR 1100 NEW YORK AVENUE NW WASHINGTON, DC 20005 3918		
O.I.P.E. JC68 NOV 27 2000 PATENT & TRADEMARK OFFICE		
INTERNATIONAL APPLICATION NO. PCT/GB99/00816		
I.A. FILING DATE 17 MAR 99		PRIORITY DATE 17 SEP 00
DATE MAILED: 14 NOV 2000		

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as

- ☐ a Designated Office (37 CFR 1.494),
☒ an Elected Office (37 CFR 1.495):
☒ U.S. Basic National Fee.
☒ Copy of the international application in:
☐ a non-English language.
☒ English.
☒ Translation of the international application into English.
☐ Oath or Declaration of inventors(s) for DO/EO/US.
☐ Copy of Article 19 amendments.
☐ Translation of Article 19 amendments into English.
☒ The International Preliminary Examination Report in English and its Annexes, if any.
☐ Translation of Annexes to the International Preliminary Examination Report into English.
☐ Preliminary amendment(s) filed _____ and _____.
☒ Information Disclosure Statement(s) filed 18 sep 2000 and _____.
☐ Assignment document.
☐ Power of Attorney and/or Change of Address.
☐ Substitute specification filed _____.
☐ Verified Statement Claiming Small Entity Status.
☒ Priority Document.
☒ Copy of the International Search Report ☒ and copies of the references cited therein.
☐ Other:

2. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- ☐ a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).
3. Additional claim fees of \$ _____ as ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due. See attached PTO-875.

ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY ☐ 21 OR ☒ 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

4. Translation of the Annexes **MUST** be submitted no later than the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.

5. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

A copy of this notice MUST be returned with this response.

Enclosed:

☐ PCT/DO/EO/917

☐ Notice of Defective Translation

☐ PTO-875

FORM PCT/DO/EO/905 (December 1997)

Paulette Kidwell, Paralegal

Telephone: 703-305-3656

RECEIVED
PILLSBURY MADISON & SUTRO LLP/DC

NOV 17 2000

CL# 7.278 MT# 273844
ATTY(S) DMC
DUE: DECEMBER 14 2000
DKT BY (1) LMS (2) GJA